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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 023070-121500US

First Inventor

Gallie, Daniel R.

Title

GENERATION OF MULTIPLE EMBRYO MAIZE

Express Mail Label No.

EL376658765US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning design patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
See 37 CFR 1.27.
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 25]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C.113) [Total Sheets]
5. ☐ Oath or Declaration [Total Pages]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper number of pages
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement [Power of Attorney] (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations]
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: ____ / ____

Prior application information:

Examiner

Group Art Unit: ____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

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or ☐ Correspondence address below

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Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Kevin Bastian

Registration No. (Attorney/Agent)

34,774

Signature

Date

February 7, 2002

Burden Hour Statement: This form, DC 20231
SF 1315631 v1

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 475

Complete if Known

Application Number	Not Assigned
Filing Date	February 7, 2002
First Named Inventor	Gallie, Daniel R.
Examiner Name	Not Assigned
Group Art Unit	Not Assigned
Attorney Docket No.	023070-121500US

METHOD OF PAYMENT		3. ADDITIONAL FEES				
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number: 20-1430 Deposit Account Name: Townsend and Townsend and Crew LLP <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Large Entity Fee Code (\$)		Small Entity Fee Code (\$)	Fee Description	Fee Paid
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		105 130 205 65			Surcharge - late filing fee or oath	
		127 50 227 25			Surcharge - late provisional filing fee or cover sheet.	
		139 130 139 130			Non-English specification	
		147 2,520 147 2,520			For filing a request for reexamination	
		112 920* 112 920*			Requesting publication of SIR prior to Examiner action	
		113 1,840* 113 1,840*			Requesting publication of SIR after Examiner action	
		115 110 215 55			Extension for reply within first month	
		116 400 216 200			Extension for reply within second month	
		117 920 217 460			Extension for reply within third month	
		118 1,440 218 720			Extension for reply within fourth month	
		128 1,960 228 980			Extension for reply within fifth month	
		119 320 219 160			Notice of Appeal	
		120 320 220 160			Filing a brief in support of an appeal	
		121 280 221 140			Request for oral hearing	
		138 1,510 138 1,510			Petition to institute a public use proceeding	
		140 110 240 55			Petition to revive - unavoidable	
		141 1,280 241 640			Petition to revive - unintentional	
		142 1,280 242 640			Utility issue fee (or reissue)	
		143 460 243 230			Design issue fee	
		144 620 244 310			Plant issue fee	
		122 130 122 130			Petitions to the Commissioner	
		123 50 123 50			Petitions related to provisional applications	
		126 180 126 180			Submission of Information Disclosure Stmt	
		581 40 581 40			Recording each patent assignment per property (times number of properties)	
		146 740 246 370			Filing a submission after final rejection (37 CFR § 1.129(a))	
		149 740 249 370			For each additional invention to be examined (37 CFR § 1.129(b))	
		179 740 279 370			Request for Continued Examination (RCE)	
		169 900 169 900			Request for expedited examination of a design application	
					Other fee (specify)	
					The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.	
					*Reduced by Basic Filing Fee Paid	
					SUBTOTAL (3)	(\$)

1. BASIC FILING FEE			
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740 201 370		Utility filing fee	370
106 330 208 185		Design filing fee	
107 510 207 255		Plant filing fee	
108 740 208 370		Reissue filing fee	
114 160 214 80		Provisional filing fee	
SUBTOTAL (1)			(\$370)

2. EXTRA CLAIM FEES								
Total Claims	27	-20**	=	7	X	\$9	=	\$63
Independent Claims	4	-3**	=	1	X	\$42	=	\$42
Multiple Dependent					X		=	
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid					
103 18 203 9		Claims in excess of 20						
102 84 202 42		Independent claims in excess of 3						
104 280 204 140		Multiple dependent claim, if not paid						
109 84 209 42		** Reissue independent claims over original patent						
110 18 210 9		** Reissue claims in excess of 20 and over original patent						
SUBTOTAL (2)			(\$105)					

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Kevin Bastian	Registration No. (Attorney/Agent)	34,774
Signature		Telephone	415-576-0200
		Date	February 7, 2002

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